PTO/SB/05 (08-03)

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Under the Paperwork Reduction Act of 1999, no persons are requ		Attorney Docket No.		056204.53113US							
UTILITY PATENT APPLICATION TRANSMITTAL	First Invente		Hideyuki KAZUMI								
	-	<i>J</i> !	Plasma Processing Apparatus								
	Title										
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Ma	il Label No.	Mail Ca	Dotont Am	nlication						
APPLICATION ELEMENTS SEE MPEP chapter 600 concerning utility patent application c	ontents.	ADDRESS	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450								
Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		Com	arge table or	28 E							
Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission fif applicable, all necessary) a. □ Computer Readable Form (CRF)									
Specification [Total Pages: <u>23</u>] (preferred arrangement set forth below)		b. Specification Sequence Listing on: i CD-ROM or CD-R (2 copies); or									
-Descriptive title of the invention -Cross Reference to Related Applications		ii ☐ paper									
-Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table,	c. Statements verifying identity of above copies										
or a computer program listing appendix -Background of the Invention		A	ACCOMPANYING APPLICATION PARTS								
-Brief Description of the Drawings (if filed)	• • • • • • • • • • • • • • • • • • • •			Assignment Papers (cover sheet & documents(s))							
-Detailed Description -Claim(s) -Abstract of the Disclosure		10. 37 CFR 3.73(b) Statement Power of Attom (when there is an assignee)				tomey					
4. Drawing(s) (35 U.S.C. 113) [Total Sheets: 4]	11. English Translation Document (if applicable)					DO 64-4					
5. Oath or Declaration [Total Sheets: 4]		12. Information Disclosure Copies of IDS Citations Statement (IDS)/PTO-1449									
a. Newly executed (original or copy)	13. Preliminary Amendment										
b Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)	14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)										
i. DELETION OF INVENTOR(S)	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)										
Signed statement attached deleting inventor(s)	16. Nonpublication Request under 35 U.S.C. 122										
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.										
I _				er:							
18. If a CONTINUING APPLICATION, check appropriate bo or in an Application Data Sheet under 37 CFR 1.76:	x, and supp	ly the requisite	information be	elow and in	a preliminary	amendment,					
	nuation-in-par	t (CIP) of prior	application No.	<u>:</u>							
Prior application information: Examiner. Art Unit:											
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.											
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.											
19. CO	RRESPOND	ENCE ADDRESS	•			10.					
Customer Number 23911 OR Correspondence address bel											
Name CROWELL & MORING LLP											
Intellectual Property Group											
Address PO BOX 14300 City WASHINGTON State DC Zip Code 20044-4300											
City WASHINGTON	State	DC		Fax	202-628-88						
Country USA	Telephone	202-624-2500	/AHama::/A===		202-020-00	· ·					
Name (Print/Type) James F. McKeown Registration No. (Attorney/Agent) 25,406											
Signature Date January 27, 2004											

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

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TEE TO ANOMITTAL			Complete if Known						
경FEE TRANSMITTAL			ion Nur	nber	To Be Assign	To Be Assigned			
for FY 2004			ate		January 27, 2	January 27, 2004			
101 F 1 2004			First Named Inventor Hideyuki KA			ZUMI			
Effective 10/01/2003. Patent fees are subject to annual revision.	E	Examiner Name							
☐ Applicant claims small entity status. See 37 CFR 1.27 Art U									
TOTAL AMOUNT OF PAYMENT (\$) 810.00	Αt	torney	Docke	t No	056204.53113	BUS			
METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)									
☑ Check ☐ Credit Card ☐ Money ☐ Other ☐ None	3. ADDITIONAL FEES								
Order Deposit Account: Deposit Account: 05-1323			Small E						
Number Deposit	Fee	Fee	Fee	Fee				Fee Paid	
Account Crowell & Moring LLP Name	Code 1051	(\$) 130	Code 2051	(\$) 65	Fee Descript Surcharge – late filing				
The Director is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☐ Charge any deficiency or credit any overpayments to the deposit account of the undersigned. Attorney	1052	50	2052		Surcharge – late prov cover sheet	visional filing fee o	ρr		
Docket No. 056204.53113US	1053	130	1053		Non-English specifica				
☐ Charge any additional fee(s) during the pendency of this application.	1812	2,520	1812		For filing a request fo	•	nnation		
☐ Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	1	Requesting publication Examination action				
to the above-identified deposit account.	1805	1,8401	1805		Requesting publication Examiner action	on or SIR after			
FEE CALCULATION	1251	110	2251	55	Extension for reply w	ithin first month			
1. BASIC FILING FEE	1252	420	2252		Extension for reply w		th		
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1002 340 2002 170 Design filing fee	1255 1401	2,010 330	2255 2401		Extension for reply w Notice of Appeal	itititi iitti iitoniii			
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in suppo	ort of an anneal			
1004 770 2004 385 Reissue filing fee	1403	290	2403		Request for oral hear				
1005 160 2005 80 Provisional filing fee	1451	1,510	1451		Petition to institute a	=	eding		
	1452	110	2452		Petition to revive - u	•	-		
SUBTOTAL (1)	1453	1,330	2453	665	Petition to revive – u	nintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or re	eissue)			
Fee from Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee				
	1503	640	2503	320	Plant issue fee				
Total Claims 12 -20** = 0 x = Indep. 3 -3** = 0 x =	1406	130	1460		Petitions to the Com				
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Multiple Dependent = Large Entity: Small Entity	1806 8021	180 40	1806 8021	40	Submission of Inform Recording each pate	ent assignment pe		40.00	
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1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1810	770	2810		For each additional i	r each additional invention to be			
203 290 2203 145 Multiple dependent claim, if not paid		770	2801		examined (37 CFR §		RCE)		
1204 86 2204 43 ** Reissue independent claims over original patent		900	1802		Request for expedite		,		
1205 18 2205 9 ** Reissue claims in excess of 20			[of a design application				
and over original patent	Other	fee (sp	ecify)		_				
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**or number previously paid, if greater; For Reissues, see above.		Counced by Dable (Illing) ee Palu ODD (O) (V)							
40.0								40.00	
SUBMITTED BY Complete (if applicable)									
Name (Print/Type) James F. McKeown		ation No ey/Agen		25,40	06	Telephone	202-624-2	2500	
Signature Mix con					Date	01/27/200			

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2038.

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